



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney application of: Jun Li and Meyya Meyyappan
 Serial No: 10/825,795

Filed: March 13, 2004

For: "Nanoengineered Thermal Materials Based on Carbon Nanotube Array Composites"

Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
 FILED UNDER 37 CFR 1.53(b)
 Filing Date Granted**

1. This response replies to the Notice to File Missing Parts of Nonprovisional Application mailed 06/28/2004.

A copy of the Notice to File Missing Parts of Nonprovisional Application is enclosed.

2. **Declaration or Oath**

The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

Certificate of Mailing/Transmission (37 CFR 1.8(a))

I hereby certify that this correspondence comprising:

One Return Postcard
 Transmittal/Fee Sheet/Petition to Extend Time/Mailing Certificate (3 pages, in duplicate)
 Executed Declaration (2 pages)
 Replacement Drawings (7 sheets, including figs. 1-2, 3A-C, 4A-B, 5A-B, 6A-B, and 7A-B)
 Copy of Notice to File Missing Parts (2 pages)

is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 12, 2004

Signature

Vickie L. Kent 10/12/04

Vickie L. Kent

3. Replacement Drawings

Replacement Drawings in Compliance with 37 CFR 1.84 and 37 CFR 1.121 are attached.

7 Sheets, consisting of figs. 1-2, 3A-C, 4A-B, 5A-B, 6A-B, and 7A-B

4. Completion Fees

late payment of filing fee

and/or

late filing of original declaration or oath \$ 130.00
[37 CFR 1.16(e) --\$130.00]

Total Completion fees \$ 130.00

5. Extension of Time

The proceedings herein are for a patent application, and the provisions of 37 CFR 1.136(a) apply.

(a) Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)(1)-(5), for the total number of months checked below:

Applicant is other than a small entity

<u>Extension (months)</u>	<u>Fee for other than small entity</u>
<input type="checkbox"/> one month	\$ 110.00
<input checked="" type="checkbox"/> two months	\$ 430.00
<input type="checkbox"/> three months	\$ 980.00
<input type="checkbox"/> four months	\$1,530.00
<input type="checkbox"/> five months	\$2,080.00

Extension Fee \$ 430.00

If an additional extension of time is required, please consider this a petition therefor.

An extension for _____ months has already been secured, and the fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

Total extension fee due with this request \$430.00

or

(b) Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

6. Total Fee Due

The total fee due is

Completion fee(s) \$130.00

Extension fee (if any) \$430.00

Total Fee Due \$560.00

7. Payment of Fees, Authorization to Charge Additional Fees, and Authorization to Credit Overpayment

Please charge Deposit Account No. 14-0116 in the amount of \$560.00.
A duplicate of this request is attached.

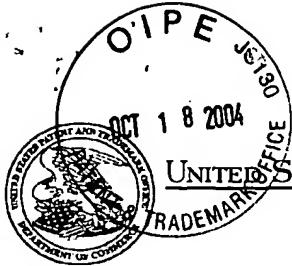
The Commissioner is hereby authorized to charge any additional fee that may be required to effect the filing of this paper or credit any overpayment to Deposit Account No. 14-0116.

Reg. No.: 26,994

Tel. No.: (650) 604-0887

John F. Schipper 12 October 2004
John F. Schipper date
Patent Attorney
NASA Ames Research Center
Mail Stop 202A-4
Moffett Field, CA 94035-1000

IFAS



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/825,795	04/13/2004	Jun Li	ARC-15173-1

CONFIRMATION NO. 9102

25186
 NASA AMES RESEARCH CENTER
 ATTN: PATENT COUNSEL
 MAIL STOP 202A-4
 MOFFETT FIELD, CA 94035-1000

FORMALITIES LETTER



OC000000013085502

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 2, 3A.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

10/25/2004 AWONDAF1 00000046 140116 10825795
 01 FC:1.051 130.00 DA

JUL 06 2004

In The Patent
Counsel's Of

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 JUL 06 2004
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Counsel's Of
 By *[Signature]*

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Janayle Aslat

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE